

APPALACHIAN REGIONAL DEVELOPMENT PROGRAM

Objective: To stimulate substantial public investments in public services and facilities that will attract investment in the State's Appalachian Region.

Administering Agency: NYS Department of State, Division of Local Government; US Appalachian Regional Commission

Specific Program URL: www.dos.state.ny.us/lgss/are.html

NYS Object Code: 69702

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 23.001 et. seq.

Legal Authority:

Law: NY Executive Law, Article 6-B, Section 153; US Appalachian Regional Development Act of 1965, as amended

Regulation: Appalachian Regional Commission Code

Program Contact:

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ARC Program Manager
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Division of Local Government Services
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Fiscal Contact:

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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. Area of project impact must be in the 14 counties of Appalachian New York (Allegany, Broome, Cattaraugus, Chautauqua, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Steuben, Schuyler, Tioga and Tompkins).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Project must implement one of 5 ARC Goals: 1 (Skills & Knowledge), including workforce training, basic skills/education, child care, and telecommunications; 2 (Physical Infrastructure), including water and waste system improvements, and local access roads, 3 (Community Capacity), including leadership projects and local government capacity-building demonstrations; 4 (Dynamic Local Economies), including business development and assistance projects and revolving loan fund recapitalization; and 5 (Health Care), including telemedicine projects and rural health projects. Special funding is also available to implement a Regional Initiative on Entrepreneurship.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: ARC 50%, Non-ARC 50% in most cases.

Maintenance of Effort: ARC funds cannot be used to replace sponsor funds in supporting an activity. Sponsor cannot reduce its financial contribution.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 99-00	3,483,496	NA	NA
FFY 00-01	3,125,998	NA	NA
FFY 01-02	2,982,049	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 99-00	3,527,310	NA	NA
FFY 00-01	3,021,308	NA	NA

COASTAL MANAGEMENT AND LOCAL WATERFRONT REVITALIZATION

(Financial Assistance Program)

Objective: Provides financial assistance to waterfront municipalities for the preparation and implementation of Local Waterfront Revitalization Programs (LWRP).

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/cstl

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 42; NY Environmental Protection Fund, Title 11

Regulation: 19 NYCRR Part 601

Program Contact:

Steve Ridler
 Coastal Resource Specialist
 Division of Coastal Resources
 NYS Department of State
 41 State Street
 Albany, New York 12231
 (518) 474-6000
 E-Mail: SRIDLER@DOS.STATE.NY.US

Fiscal Contact:

Same

Eligibility: All municipalities within the State's designated coastal area are eligible for grant assistance when funds are available. Financial assistance to inland municipalities is limited to those along designated waterways.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and/or State and Federal requirements. For eligible communities, funds are restricted to uses which further development or implementation of Local Waterfront Revitalization Programs.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: A 50% local match is required.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	7,840,000	NA
SFY 01-02	NA	0	NA
SFY 02-03	NA	11,935,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	1,416,440	NA
SFY 01-02	NA	0	NA

COASTAL MANAGEMENT AND LOCAL WATERFRONT REVITALIZATION

(Technical Assistance Program)

Objective: Provides technical assistance to local governments in the coastal area and along designated inland waterways regarding waterfront revitalization development and environmental issues.

Administering Agency: NYS Department of State; US National Oceanic and Atmospheric Administration, Department of Commerce

Specific Program URL: www.dos.state.ny.us/cstl

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 11.419

Legal Authority:

Law: NY Executive Law, Article 42; US Coastal Zone Management Act of 1972, as amended

Regulation: 15 CFR Part 24; 19 NYCRR Part 601

Program Contact:

Division of Coastal Resources

NYS Department of State

41 State Street

Albany, New York 12231

(518) 474-6000

E-Mail: COASTAL@DOS.STATE.NY.US

Fiscal Contact:

Same

Eligibility: Cities, Towns and Villages. Municipalities must be in designated coastal area, or if an inland waterway, they must be located along a designated waterway. Municipalities must be preparing or implementing Local Waterfront Revitalization Programs.

Type of Program and Special Restrictions: Optional, but if in a coastal area, once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Request must be made to the Department of State.

Description of Aid:

See Objective.

FINANCIAL AID FOR FIRE PREVENTION AND BUILDING CODE ENFORCEMENT

Objective: Provides financial aid to local governments in support of fire prevention and building code enforcement activities.

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/code/lc-codes.html

NYS Object Code: 69704

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-g, NY Executive Law, Section 380; FY 2000 funding pursuant to Chapter 50, Section 1 of the Laws of 2000

Regulation: 19 NYCRR 433

Program Contact:

George Clark
Director
Codes Division
NYS Department of State
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Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
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E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Mandated, required by State law or regulations in compliance with 19 NYCRR Part 433.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Reimbursement of costs to local governments meeting minimum standards for code enforcement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	3,000,000
SFY 01-02	NA	NA	3,000,000 (reapprop.)
SFY 02-03	NA	NA	1,800,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 99-00	NA	NA	NA
SFY 00-01	NA	NA	0
SFY 01-02	NA	NA	1,200,000

FIRE MOBILIZATION AND MUTUAL AID

Objective: Provides advances to municipalities for expenses incurred under the State's fire mobilization and mutual aid plan.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69710

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

James A. Burns
 State Fire Administrator
 Office of Fire Prevention and Control
 NYS Department of State
 41 State Street
 Albany, New York 12231
 (518) 474-6746
 E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly Landry
 Director
 Fiscal Management
 NYS Department of State
 41 State Street
 Albany, New York 12231
 (518) 474-2754
 E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional, local choice. Advance for expenses incurred during an emergency declared under the State's Fire Mobilization and Mutual Aid plan.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	11,000
SFY 01-02	NA	NA	11,000
SFY 02-03	NA	NA	11,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA

FIRE SUPERVISOR TRAINING

Objective: Reimburses expenses incurred by the New York City Fire Academy in the training of first line paid fire department supervisors.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69711

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Section 209X

Regulation: NA

Program Contact:

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Fiscal Contact:

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41 State Street
Albany, New York 12231
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Eligibility: City of New York Fire Academy.

Type of Program and Special Restrictions: Optional. Must be used for training of first line supervisors of paid fire departments.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	700,000
SFY 01-02	NA	NA	700,000
SFY 02-03	NA	NA	1,050,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	700,000
SFY 01-02	NA	NA	700,000

FIRES ON STATE PROPERTY

Objective: Reimburses fire departments for specific costs incurred while responding to a fire on property under the jurisdiction of the State of New York.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69709

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-E, NY Laws of 1978, Chapter 741

Regulation: NA

Program Contact:

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 Office of Fire Prevention and Control
 NYS Department of State
 41 State Street
 Albany, New York 12231
 (518) 474-6746
 E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly Landry
 Director
 Fiscal Management
 NYS Department of State
 41 State Street
 Albany, New York 12231
 (518) 474-2754
 E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional. Reimbursement for fire fighting costs incurred while on State property. All claims are held until end of year; disbursements are then pro-rated to the extent of funds available. State reimburses an amount up to 100 percent of that portion of firefighting expenses that exceed an initial amount of \$250.00.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	25,000
SFY 01-02	NA	NA	25,000
SFY 02-03	NA	NA	25,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 99-00	NA	NA	NA
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA

HOME PAGE ON WORLD WIDE WEB

(Technical Assistance Program)

Objective: Provides information about services, programs and technical assistance available to the business community, local officials, community leaders, and citizens from the following departments of the Department of State: Fire Prevention and Control, Codes, Corporations, Uniform Commercial Code and State Records, Information Services, Committee on Open Government, Athletic Commission, Local Government Services, Regional Affairs, Licensing Services, Cemeteries, Community Services, Coastal Resources and Waterfront Revitalization, and Ethics Commission.

Administering Agency: NYS Department of State

Specific Program URL: info@dos.state.ny.us

NYS Object Code: NA

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Theresa Smolen
Director
Office of Public Affairs
NYS Department of State
41 State Street
Albany, NY 12231
(518) 474-4752
E-Mail: info@dos.state.ny.us

Fiscal Contact:

NA

Eligibility: Local Governments, Non-Profit Groups, Educational Institutions, Businesses, some Licensed Professionals and the Legal Community.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: NA

Description of Aid:

From the divisions listed above (where applicable), services include training and exam information; publications and law booklets; information about the *New York State Register* and the *Official Compilation of Codes, Rules and Regulations of the State of New York*; a local laws database; opinions information; professional licensing, regulatory, and filing information; grants; and press releases.

Comments:

The Department of State Home Page is located on the Internet at <http://www.dos.state.ny.us>. We may be reached at our new e-mail address: info@dos.state.ny.us. For those without the necessary equipment to access the Internet and our site, you may check the following locations for public access: libraries, local colleges, BOCES, local government offices and schools. If you have questions about site content or access, please call (518) 474-4752, e-mail to the address given above, or write to the program contact.

LOCAL ENHANCED WIRELESS 911 PROGRAM

Objective: Reimburse municipalities for costs of implementation and operation of enhanced wireless 911 answering systems.

Administering Agency: NYS Department of State

Specific Program URL: NA

NYS Object Code: 69790

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY County Law, Article 6-A

Regulation: NA

Program Contact:

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 E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

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Eligibility: Counties.

Type of Program and Special Restrictions: Cost reimbursement.

Action Required to Receive Aid: Must be deemed eligible and enter into cost reimbursement contract.

Description of Aid:

Flow of Funds: State to County.

Type of Aid: Ongoing

Formula: Available funds allocated on pro-rata basis according to population.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA
SFY 02-03	NA	NA	2,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA

TECHNICAL ASSISTANCE PROGRAM (CODES DIVISION)

Objective: Provides information and guidance regarding general requirements for enforcement of the Uniform Fire Prevention and Building Code.

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/code/lc-codes.html

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 381

Regulation: 19 NYCRR 441 and 444

Program Contact:

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Fiscal Contact:

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(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: State Agencies, Counties, Cities, Towns, Villages and the General Public.

Type of Program and Special Restrictions: Requesting technical assistance is optional.

Action Required to Receive Aid: Assistance may be requested from a local regional office. For the address and number of the office that serves your area, call (518) 474-4073.

Description of Aid:

Assistance to local code enforcement personnel including answering specific code questions and assisting with site inspections; assistance to local government officials in establishing or making improvements in code enforcement programs; assistance providing technical and code related information to contractors, architects, engineers, manufacturers and the general public.

Comments:

The Codes Technical Assistance Program provides a variety of services utilizing central office and field based staff. Most technical assistance is directed to specific technical or regulatory questions concerning individual construction projects. Telephone contacts primarily concern technical applications of the code and regulations for its administration and enforcement personnel with plan reviews and inspections. Staff makes frequent presentations to interested groups and regularly attend meetings of regional New York State Building Officials Conference.